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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/798074
Filing Date	3/11/04
First Named Inventor	KOVARIK
Art Unit	2109
Examiner Name	Dant B. Shaifer - Harriman
Attorney Docket Number	7162-0110

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

/Dant Shaifer Harriman/

Date Considered

4 / 9 / 2007

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

Application Number	10/798,074
Filing Date	March 11, 2004
First-Named Inventor	KOVARIK, JR.
Art Unit	2109
Examiner Name	Dant B. Shaifer-Harriman
Attorney Docket Number	7162-0110

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	10/798,074
		Filing Date	March 11, 2004
		First Named Inventor	KOVARIK, JR.
		Art Unit	2109
		Examiner Name	Dant B. Shaifer - Harriman
Sheet 2	of 2	Attorney Docket Number	7162-0110

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/DS/		MEADOWS, C., "Extending the Brewer-Nash Model to a Multilevel Context" IEEE, Proceedings of the Symposium on Research in Security and Privacy. Oakland, May 7-9, 1990	
/DS/		STICKEL, M., "Elimination of Inference Channels by Optimal Upgrading" Research in Security and Privacy, 1994.  1994 IEEE Computer Society Symposium in Oakland, CA, May 16-18, IEEE Comput. Soc, 16 May, 2004, pages 168-174	
/DS/		DENNING, D., "A Lattice Model of Secure Information Flow" COMMUNICATIONS OF THE ACM, Vol. 19, No. 5, May 1976, pp 236-243.	
/DS/		NULL, L., et al., "A Unified Approach for Multilevel Database Security Based on Inference Engines" SIGCSE BULLETIN, ACM, New York, NY Feb. 23, 1989, pp 108-111	
/DS/		SAMARATI, et al., "Access Control: Policies, Models, and Mechanisms" Lecture Notes in Computer Science, Springer Verlag, New York, NY Vol. 2171, June 2003, pp 137-196	
/DS/		SANDHU, R., "Lattice-Based Access Control Models" Online: URL: <a href="http://doi.ieeecomputersociety.org/10.1109/2.241422">http://doi.ieeecomputersociety.org/10.1109/2.241422</a> retrieved on 10/25/2005	
/DS/		BREWER, D., et al., "The Chinese Wall Security Policy" Proceedings of the Symposium on Security and Privacy. Oakland, May 1-3, 1989, Washington, IEEE Comp. Soc. Press, US	
/DS/		SANDHU, R., "The Typed Access Matrix Model" IEEE, Proceedings of the Computer Society Sypmsium on Research in Security and Privacy.  Oakland, May 4-6, 1992, Los Alamitos, IEEE Comp. Soc. Press, US., Vol. SYMP. 13, May 4, 1992, pp. 122-136	

Examiner Signature	/Dant Shaifer Harriman/	Date Considered	4/4/07
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